

**CERTIFICATE OF MAILING**

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Cindy Baglietto

*Cindy Baglietto*

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8-152  
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PATENT  
TECHNOLOGY CENTER 2800

Attorney Docket No. 25710-705

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application

PATENT APPLICATION

Inventor(s): Vladimir I. Vaganov

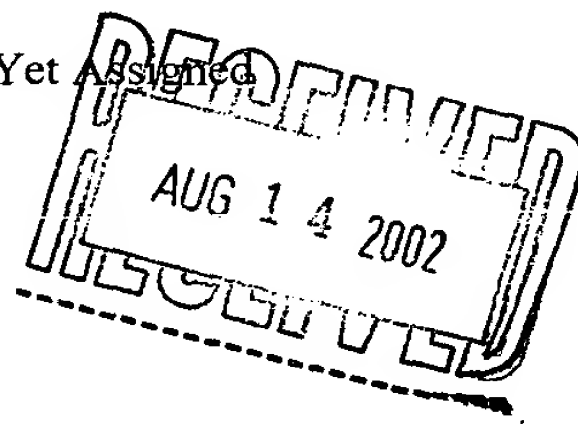
Art Unit: 2874

Application No.: 09/894,853

Examiner: Not Yet Assigned

Filed: June 27, 2001

Title Optical Switch



**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
- ☒ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; **or**
- ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of **-\$ 0-** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 25710-705).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated: 7/30/02

By: 

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<b>INFORMATION DISCLOSURE CITATION</b> <b>PTO-1449</b>		ATTY. DOCKET NO. 25710-705		SERIAL NO. 09/894,853			
		APPLICANT Vladimir I. Vaganov					
		FILING DATE June 27, 2001		GROUP 2874			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	4,512,036	4/16/1985	Laor	455	612	2/2/1981	
	5,367,584	11/22/1994	Ghezze, et al.	385	17	10/27/1993	
	5,524,153	6/4/1996	Laor	385	16	2/10/1995	
	5,923,480	7/13/1999	Labeye	359	814	4/2/1997	
	6,005,998	12/21/1999	Lee	385	33	2/20/1998	
	6,192,171	2/20/2001	Goodman, et al.	385	16	2/25/2000	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP0883011 A1	9/12/1998	EPO	G02B	26/02	<input type="checkbox"/>	<input type="checkbox"/>
	2 528 650		France	H04Q	3/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	WO 00/37849	29/6/2000	PCT	F21S	8/06	<input type="checkbox"/>	<input type="checkbox"/>
	DE 19706053	13/8/1998	Germany	G02B	6/35	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	DE 3533286	27/3/1986	Germany	G02B	6/24	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	Glockner, Steffen, et al., "Micro-opto-mechanical beam deflectors.", Society of Photo-Optical Instrumentation Engineers, 36(5), pp. 1339-1345 (May 1997)						
	Huang, Long-Sun, et al., "MEMS Packaging for Micro Mirror Switches.", Electronic Components and Technology Conference.", pp. 592-596 (1998)						
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.